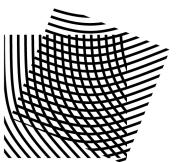
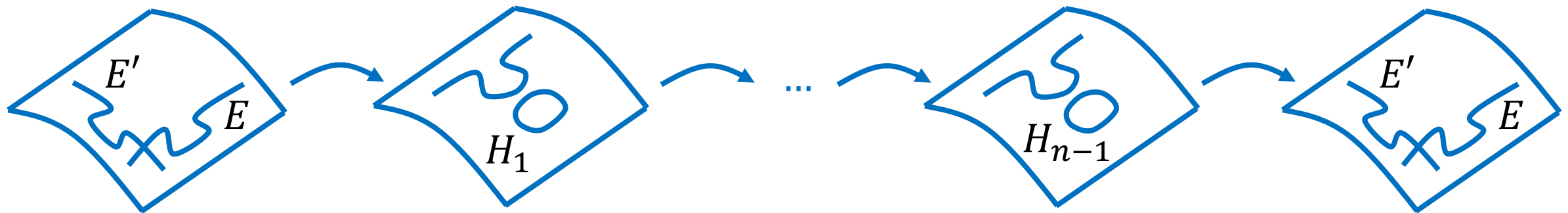


Breaking SIKE: math and aftermath

Wouter Castryck (KU Leuven)



COSIC

Latincrypt, Universidad de las Fuerzas Armadas, Quito, 4 October 2023

KU LEUVEN

1. Post-quantum cryptography

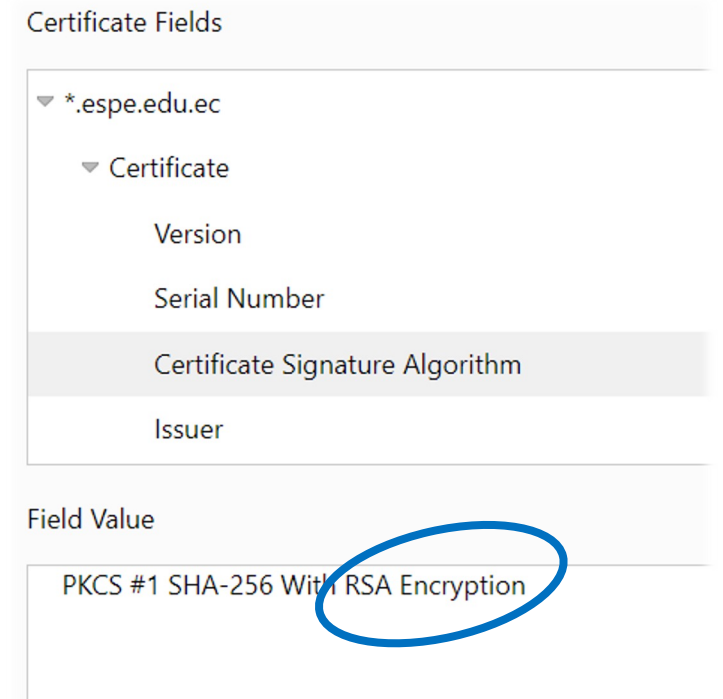
Nearly all currently deployed public-key cryptography is based on hardness of:

- integer factorization (**RSA**)

$$n = p \cdot q \longrightarrow p, q ?$$

- discrete logarithm problem (**ECC**)

$$P, dP \in E(\mathbf{F}_q) \longrightarrow d ?$$



1994: Peter Shor describes an $\begin{cases} O(\log^3 n) \\ O(\log^3 q) \end{cases}$ **quantum** algorithm solving both problems

1. Post-quantum cryptography

Will (universal) quantum computers become real? **Mixed opinions.**

More consensus: **risk** that this happens in the nearish future is **non-negligible.**

↓
motivates rapid transition to **post-quantum cryptography**:

- **long pipeline** from proposal to deployment,
- long-term secrets are under threat **now.**

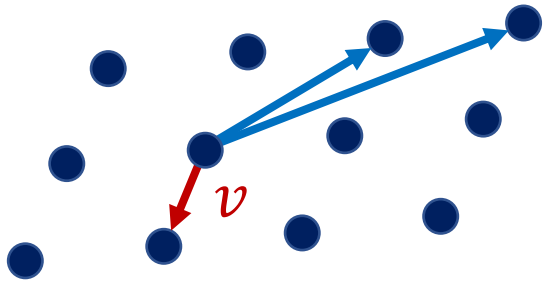
cryptography that

- runs on classical computers,
- resists quantum computers

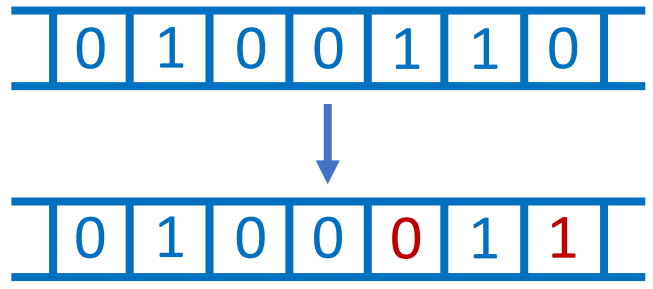
2017: NIST initiates “standardization effort” for key encapsulation and signatures

1. Post-quantum cryptography

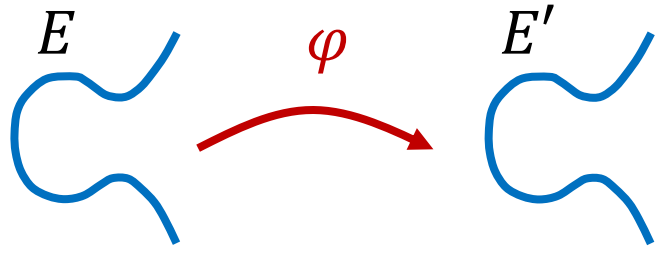
Main contending hard problems:



finding short vectors in lattices



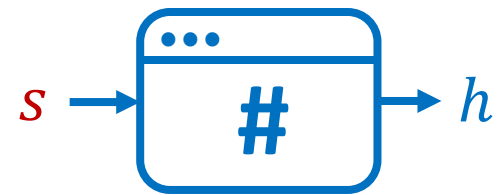
decoding for random linear codes



finding isogenies between elliptic curves

$$\begin{cases} f_1(s_1, \dots, s_n) = 0 \\ \vdots \\ f_m(s_1, \dots, s_n) = 0 \end{cases}$$



solving non-linear systems of equations



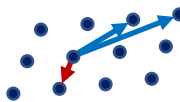
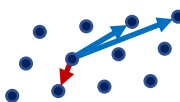
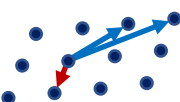

finding preimages under hash functions

1. Post-quantum cryptography

2020: Preliminary NIST standards:

-  **LMS** (stateful signatures)
-  **XMSS** (stateful signatures)





2022: First main NIST standards:

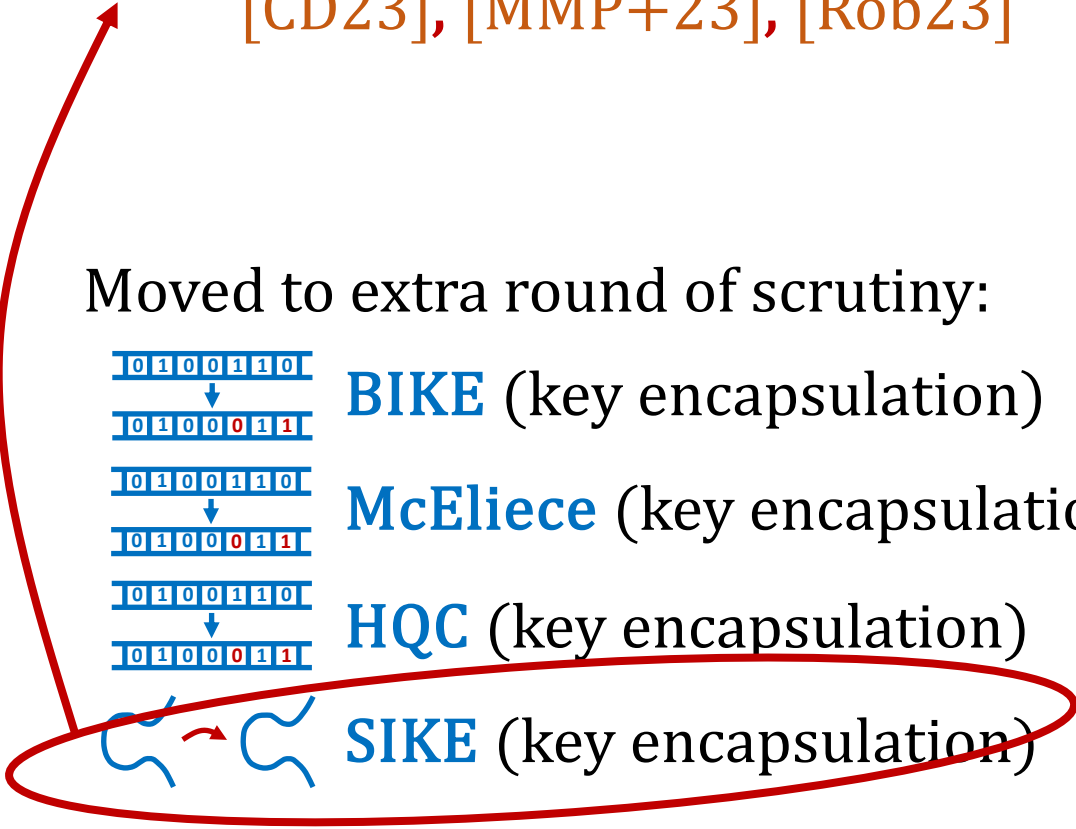
-  **Kyber** (key encapsulation)
-  **Dilithium** (signatures)
-  **Falcon** (signatures)
-  **SPHINCS+** (signatures)

2023: Renewed competition for signatures

broken few weeks after selection
[CD23], [MMP+23], [Rob23]

Moved to extra round of scrutiny:

-  **BIKE** (key encapsulation)
-  **McEliece** (key encapsulation)
-  **HQC** (key encapsulation)
-  **SIKE** (key encapsulation)

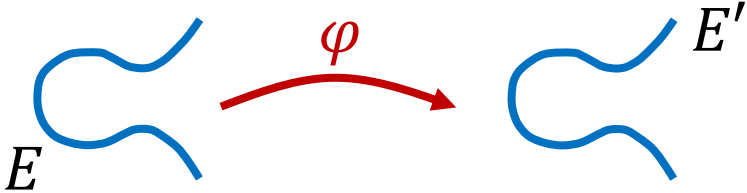


2. The isogeny-finding problem

Definitio

A **homomorphism** between two elliptic curves E and E' over a field k is a morphism $\varphi: E \rightarrow E'$ such that $\varphi(\infty) = \infty'$.

An **isogeny** is a non-constant homomorphism.



Facts:

- isogenies are **surjective group homomorphisms** with **finite kernel** (on \bar{k} -points):
 - if φ is separable then $\# \ker \varphi = \deg \varphi$
 - every finite subgroup $K \subset E$ is the kernel of a separable isogeny

makes sense to write $E' = E/K$

$$\varphi: E \rightarrow E' \quad (\text{e.g., via V\^e\luc\^e's formulae})$$

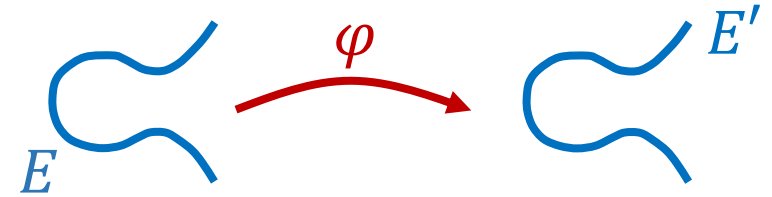
and this is **unique** up to post-composing φ with an isomorphism

2. The isogeny-finding problem

Definitio

A **homomorphism** between two elliptic curves E and E' over a field k is a morphism $\varphi: E \rightarrow E'$ such that $\varphi(\infty) = \infty'$.

An **isogeny** is a non-constant homomorphism.



Facts:

- isogenies are **surjective group homomorphisms** with **finite kernel** (on \bar{k} -points),
- for each isogeny $\varphi: E \rightarrow E'$ there is a unique **dual isogeny** $\hat{\varphi}: E' \rightarrow E$ such that

being **isogenous** is an equivalence relation

$\varphi \circ \hat{\varphi} = \deg \varphi$, $\hat{\varphi} \circ \varphi = \deg \varphi$

2. The isogeny-finding problem

Theorem [Tat66]

Two elliptic curves E, E' over \mathbf{F}_q are isogenous over \mathbf{F}_q if and only if

$$\#E(\mathbf{F}_q) = \#E'(\mathbf{F}_q).$$

The isogeny-finding problem is to find an efficient algorithm with

- **input:** two elliptic curves E, E' over \mathbf{F}_q satisfying $\#E(\mathbf{F}_q) = \#E'(\mathbf{F}_q)$
- **output:** an \mathbf{F}_q -isogeny $\varphi: E \rightarrow E'$

Best known general algorithms:

- exponential time complexity,
- quantum computers do not seem to help

2. The isogeny-finding problem

Remark: in general non-trivial how to **represent** an \mathbf{F}_q -isogeny $\varphi: E \rightarrow E'$!

- If $\deg \varphi$ is smooth, can write φ as composition of small-degree isogenies.

default understanding of
“outputting an isogeny”



- If $E[N] \subset E(\mathbf{F}_q)$ for smooth $N > 2\sqrt{\deg \varphi}$, return
 - $\deg \varphi$,
 - $\varphi(P), \varphi(Q)$ for some basis $P, Q \in E[N]$.

most important by-product of attack [Rob22a]

2. The isogeny-finding problem

Remark: in general non-trivial how to **represent** an \mathbf{F}_q -isogeny $\varphi: E \rightarrow E' \dots$

- If $\deg \varphi$ is smooth, return φ as composition of small-degree isogenies.

default understanding of
“returning an isogeny”

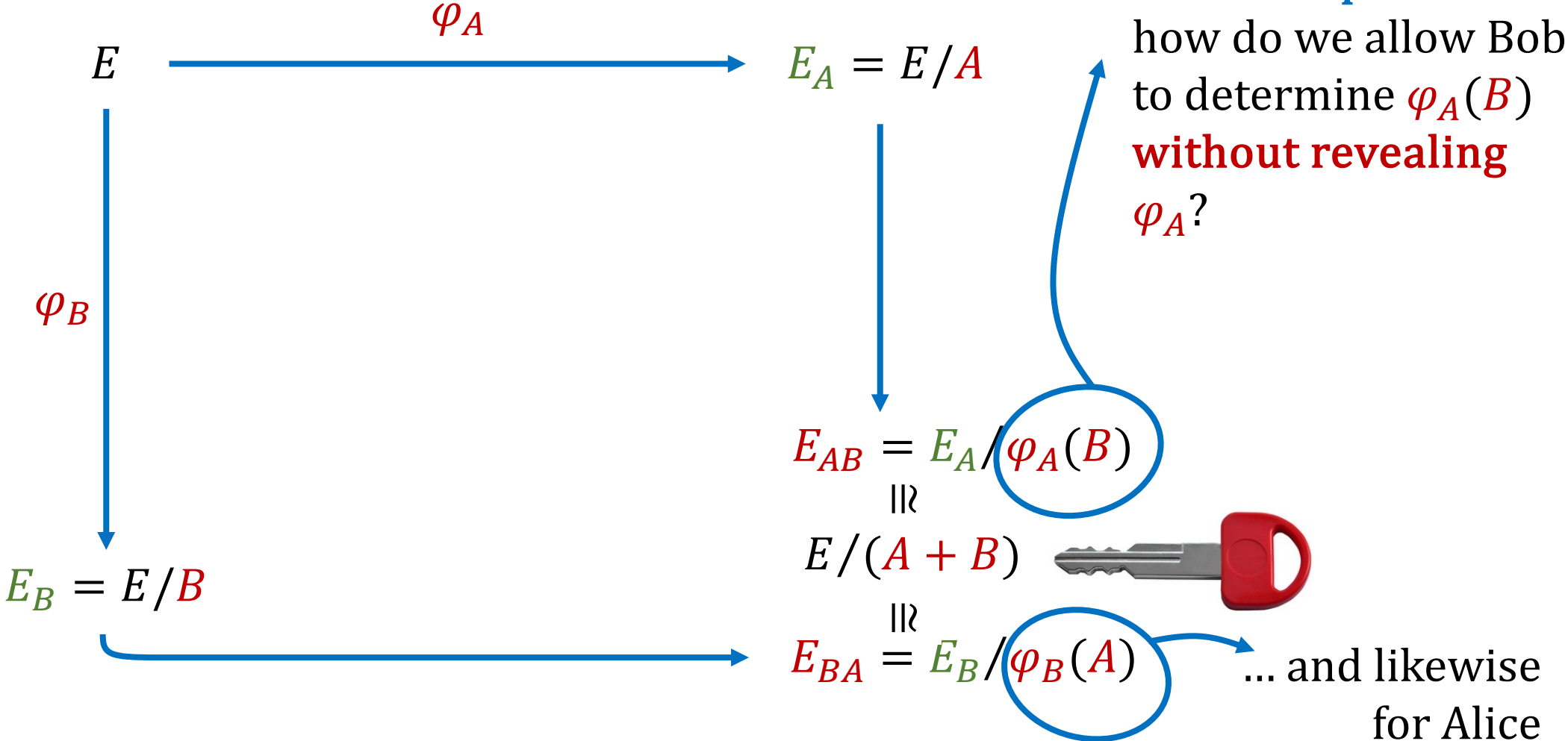


- If $E[N] \subset E(\mathbf{F}_q)$ for smooth $N > 2\sqrt{\deg \varphi}$, return
 - $\deg \varphi$ (for the moment, forget about this)
 - $\varphi(P), \varphi(Q)$ for some basis $P, Q \in E[N]$.

most important by-product of attack [Rob22a]

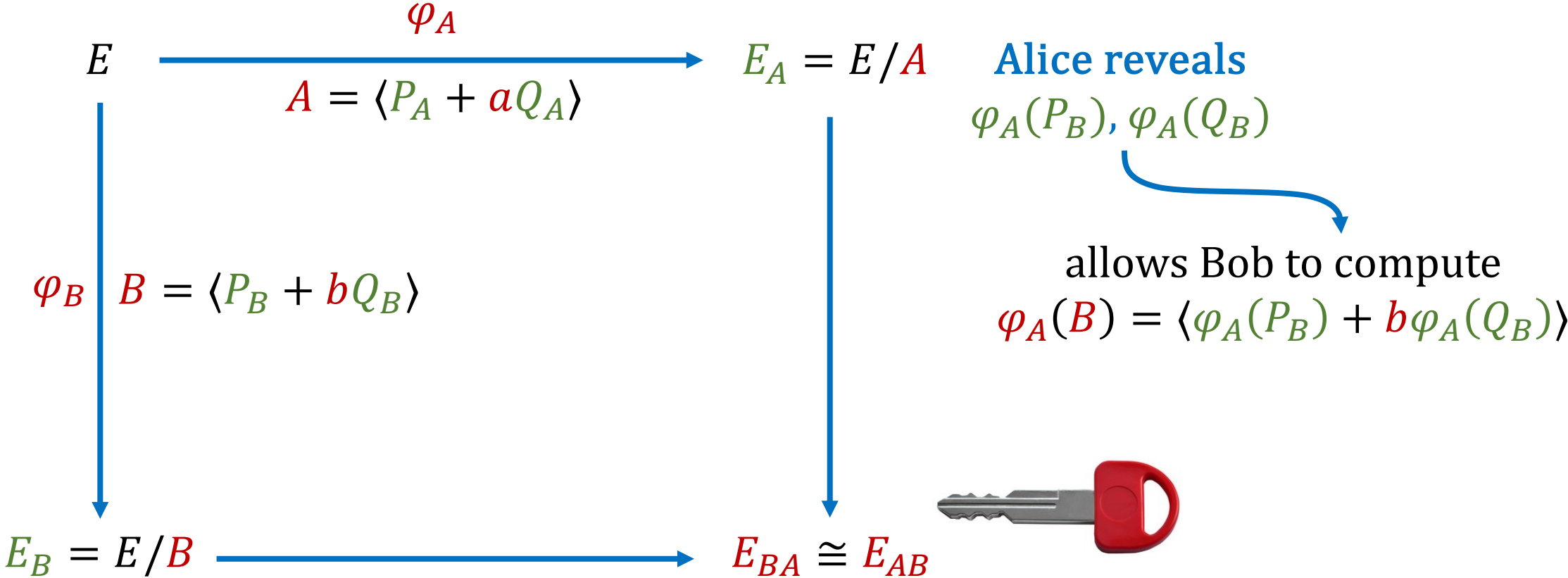
3. Supersingular isogeny Diffie-Hellman (SIDH/SIKE)

High-level idea:



3. Supersingular isogeny Diffie-Hellman (SIDH/SIKE)

Solution [JDF11]: choose public bases $P_A, Q_A \in E[N_A], P_B, Q_B \in E[N_B]$



Alice reveals $\varphi_A(P_B), \varphi_A(Q_B)$

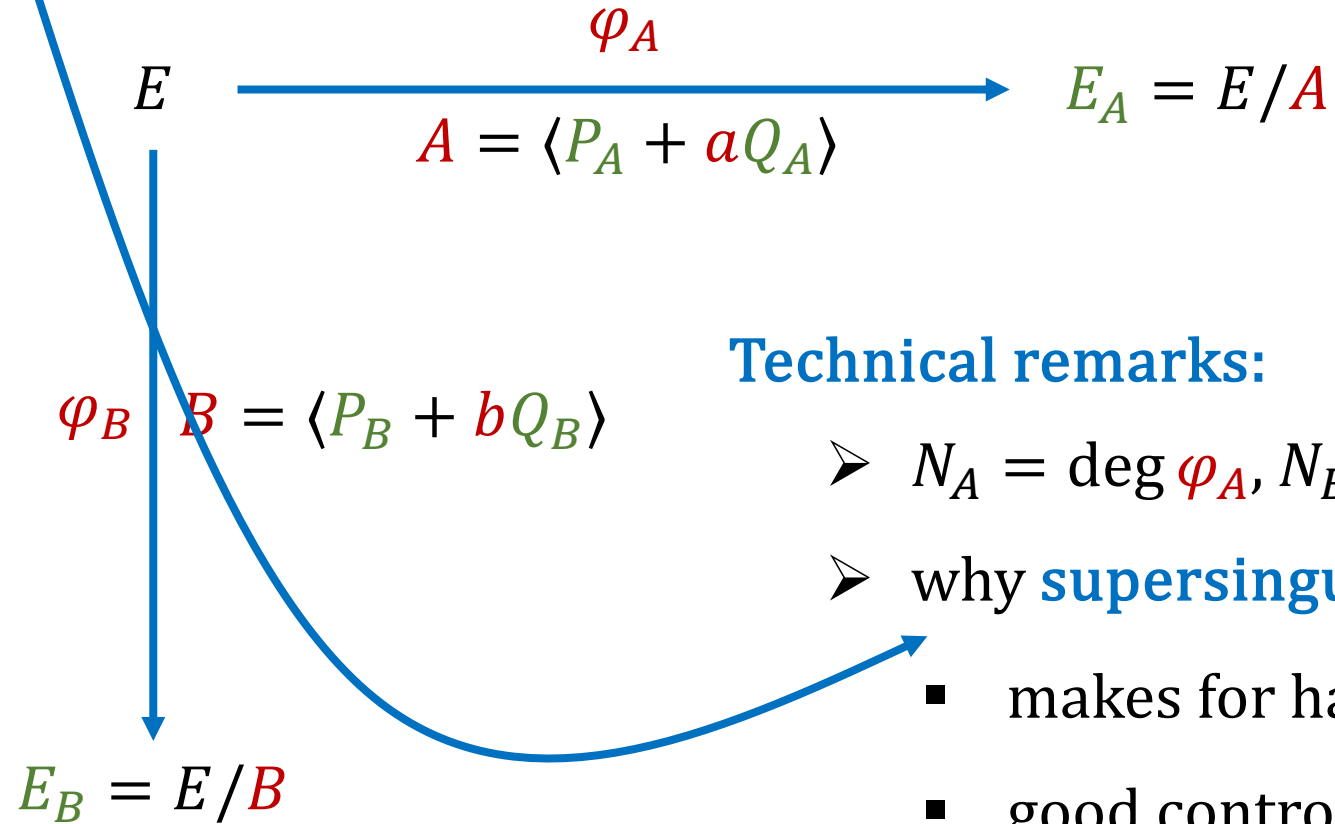
allows Bob to compute $\varphi_A(B) = \langle \varphi_A(P_B) + b\varphi_A(Q_B) \rangle$



Bob reveals $\varphi_B(P_A), \varphi_B(Q_A)$ allows Alice to compute $\varphi_B(A) = \langle \varphi_B(P_A) + a\varphi_B(Q_A) \rangle$

3. Supersingular isogeny Diffie-Hellman (SIDH/SIKE)

Solution [JDF11]: choose public bases $P_A, Q_A \in E[N_A]$, $P_B, Q_B \in E[N_B]$

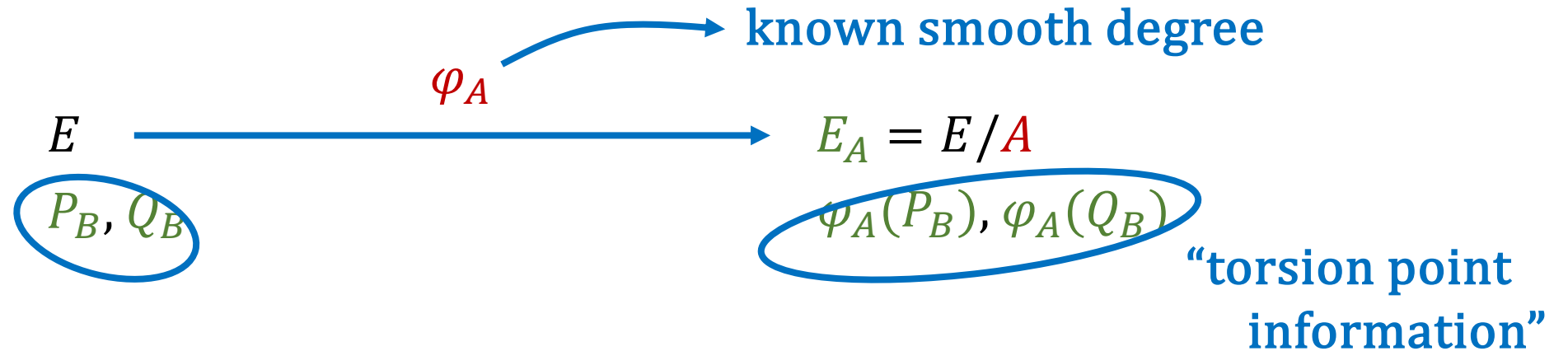


Technical remarks:

- $N_A = \deg \varphi_A$, $N_B = \deg \varphi_B$ must be **smooth**
- why **supersingular**?
 - makes for hardest isogeny-finding problem,
 - good control over torsion / base field
 - **not crucial for attack**

3. Supersingular isogeny Diffie-Hellman (SIDH/SIKE)

Very important to note: recovering Alice's secret isogeny



is **not a pure instance** of the isogeny-finding problem!

- Recurring issue in cryptographic design.
- Torsion point information was already shown to reveal φ_A if $N_B \gg N_A$ [Pet17], [dQKL+20].
- Pure isogeny-finding problem **remains hard**.

4. Recovering an isogeny from torsion point information

Henceforth, focus on following problem:

$$\begin{array}{ccc}
 E & \xrightarrow{\varphi} & E' \\
 P, Q & & P' = \varphi(P), Q' = \varphi(Q)
 \end{array}$$

$N > 2\sqrt{d}$ would be the optimal assumption

➤ **input:**

- $E, E' / \mathbf{F}_q$ connected by an \mathbf{F}_q -isogeny φ of **known degree** d ,
- a basis $P, Q \in E[N] \subset E(\mathbf{F}_q)$ for **smooth** and **large enough** N ,
- $P' = \varphi(P), Q' = \varphi(Q) \in E'[N]$.

➤ **output:** a representation of φ .

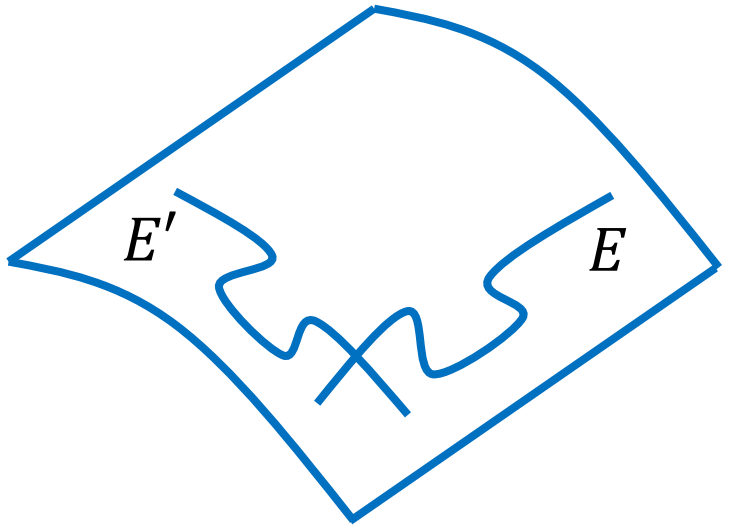
Lemma [JU18]

A degree- d isogeny $\varphi: E \rightarrow E'$ is uniquely determined by the images of $4d + 1$ points.

4. Recovering an isogeny from torsion point information

We follow approach of [Rob23]. Inspiration: [Kan97].

$$\begin{array}{ccc}
 E & \xrightarrow{\varphi} & E' \\
 P, Q & & P' = \varphi(P), Q' = \varphi(Q)
 \end{array}$$



Special first case: $N > d$
 $N - d = a^2$ is square

Consider:

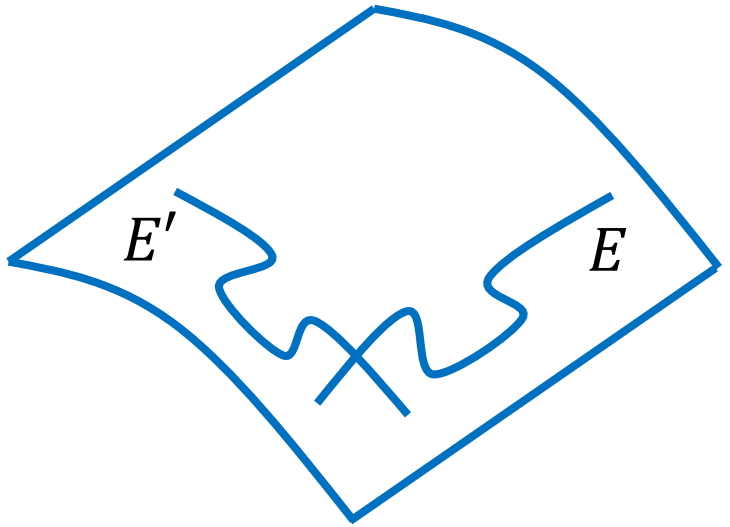
$$\Phi : E \times E' \xrightarrow{\begin{pmatrix} a & \hat{\varphi} \\ -\varphi & a \end{pmatrix}} E \times E'$$

One checks $\hat{\Phi} \circ \Phi = \Phi \circ \hat{\Phi} = N$, i.e., Φ is an (N, N) -isogeny.

4. Recovering an isogeny from torsion point information

We follow approach of [Rob23]. Inspiration: [Kan97].

$$\begin{array}{ccc}
 E & \xrightarrow{\varphi} & E' \\
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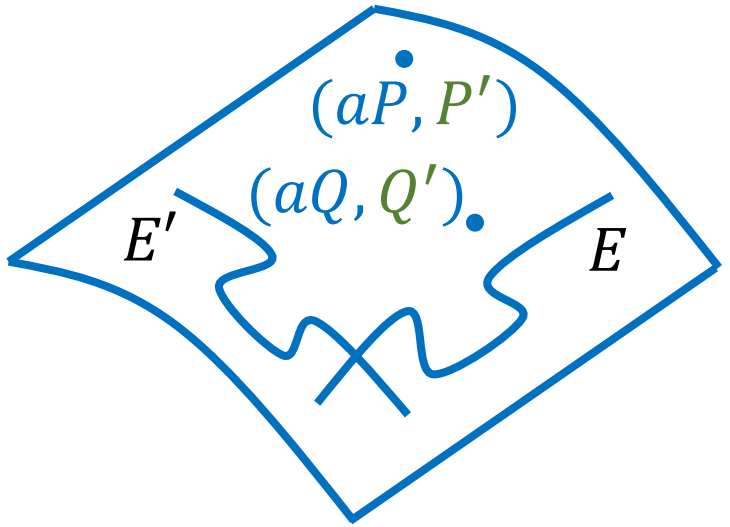
Proof:

$$\hat{\Phi} \circ \Phi = \begin{pmatrix} a & -\hat{\varphi} \\ \varphi & a \end{pmatrix} \begin{pmatrix} a & \hat{\varphi} \\ -\varphi & a \end{pmatrix} = \begin{pmatrix} a^2 + \hat{\varphi}\varphi & 0 \\ 0 & a^2 + \hat{\varphi}\varphi \end{pmatrix} = \begin{pmatrix} a^2 + d & 0 \\ 0 & a^2 + d \end{pmatrix}$$

4. Recovering an isogeny from torsion point information

We follow approach of [Rob23]. Inspiration: [Kan97].

$$\begin{array}{ccc}
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Special first case: $N > d$
 $N - d = a^2$ is square

Consider:

$$\Phi : E \times E' \xrightarrow{\begin{pmatrix} a & \hat{\varphi} \\ -\varphi & a \end{pmatrix}} E \times E'$$

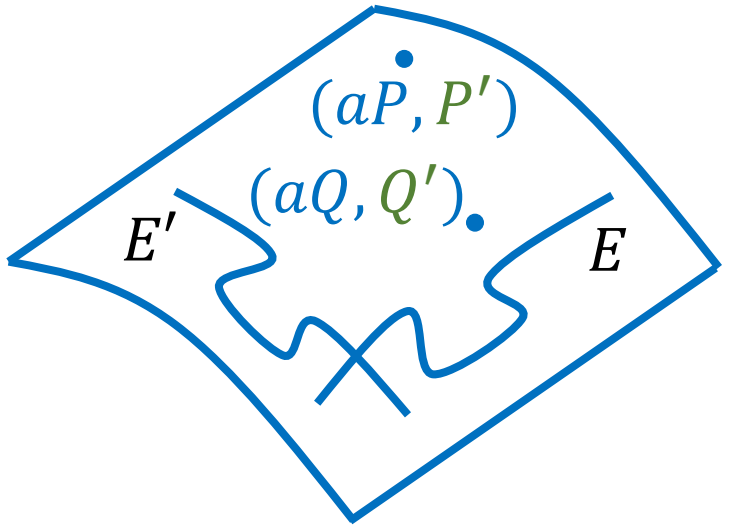
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Crucially: we know $\ker \Phi = \langle (aP, P'), (aQ, Q') \rangle$.

4. Recovering an isogeny from torsion point information

We follow approach of [Rob23]. Inspiration: [Kan97].

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 $N - d = a^2$ is square

Consider:

$$\Phi : E \times E' \xrightarrow{\begin{pmatrix} a & \hat{\varphi} \\ -\varphi & a \end{pmatrix}} E \times E'$$

One checks $\hat{\Phi} \circ \Phi = \Phi \circ \hat{\Phi} = N$, i.e., Φ is an (N)

Crucially: we know $\ker \Phi = \langle (aP, P'), (aQ, Q') \rangle$.

Proof sketch:

$$\begin{aligned}
 \Phi(aP, P') &= \begin{pmatrix} a & \hat{\varphi} \\ -\varphi & a \end{pmatrix} \begin{pmatrix} aP \\ \varphi(P) \end{pmatrix} \\
 &= \begin{pmatrix} (a^2 + d)P \\ \infty' \end{pmatrix} = (\infty, \infty')
 \end{aligned}$$

and likewise for (aQ, Q') .

4. Recovering an isogeny from torsion point information

We follow approach of [Rob23]. Inspiration: [Kan97].

$$\begin{array}{ccc}
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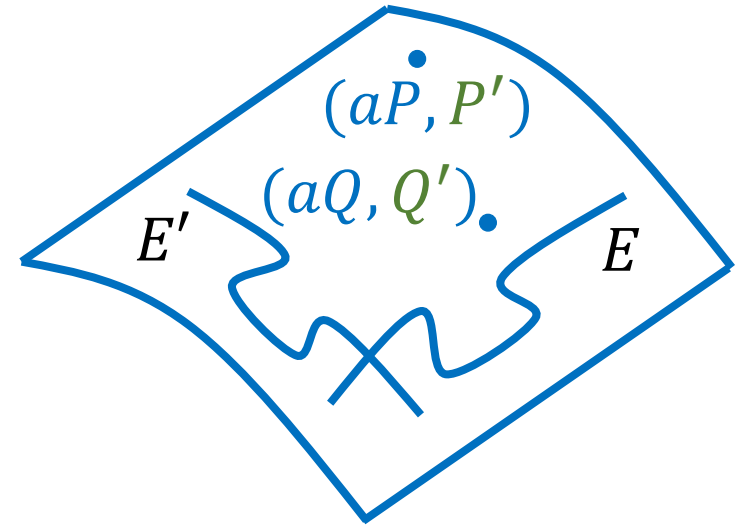
Special first case: $N > d$
 $N - d = a^2$ is square

Consider:

$$\begin{array}{ccc}
 & \begin{pmatrix} a & \hat{\varphi} \\ -\varphi & a \end{pmatrix} & \\
 \Phi : E \times E' & \xrightarrow{\quad} & E \times E'
 \end{array}$$

One checks $\hat{\Phi} \circ \Phi = \Phi \circ \hat{\Phi} = N$, i.e., Φ is an (N, N) -isogeny. !

Crucially: we know $\ker \Phi = \langle (aP, P'), (aQ, Q') \rangle$.



Consequence:
 using two-dimensional analogues of Vélu, **we can compute $\varphi(X)$** as the first component of $-\Phi(X, \infty)$, for any $X \in E$
 easy to determine $\ker \varphi$ from this

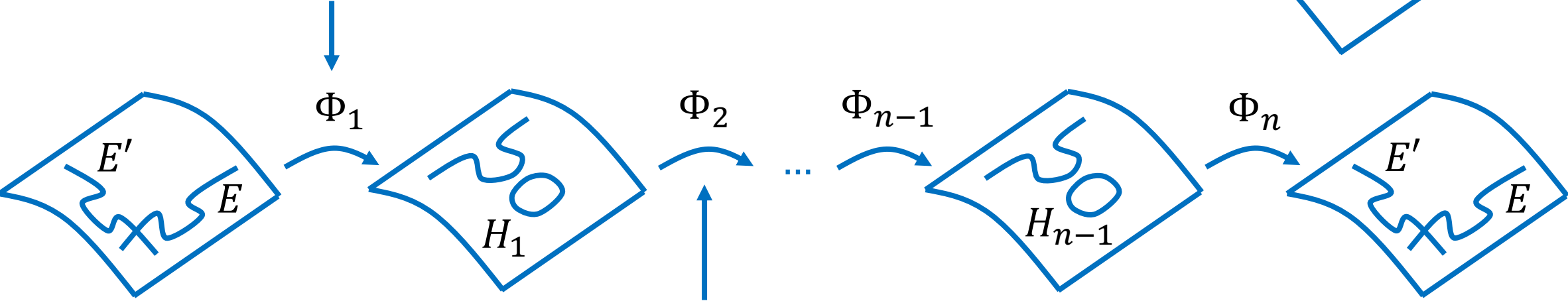
4. Recovering an isogeny from torsion point information

information

Particularly nice case: $N = 2^n$

Then Φ is a composition of (2,2)-isogenies.

$$\ker \Phi_1 = 2^{n-1} \ker \Phi = \langle (2^{n-1}aP, 2^{n-1}P'), (2^{n-1}aQ, 2^{n-1}Q') \rangle$$



$$\ker \Phi_2 = 2^{n-2} \Phi_1(\ker \Phi)$$

and so on ...

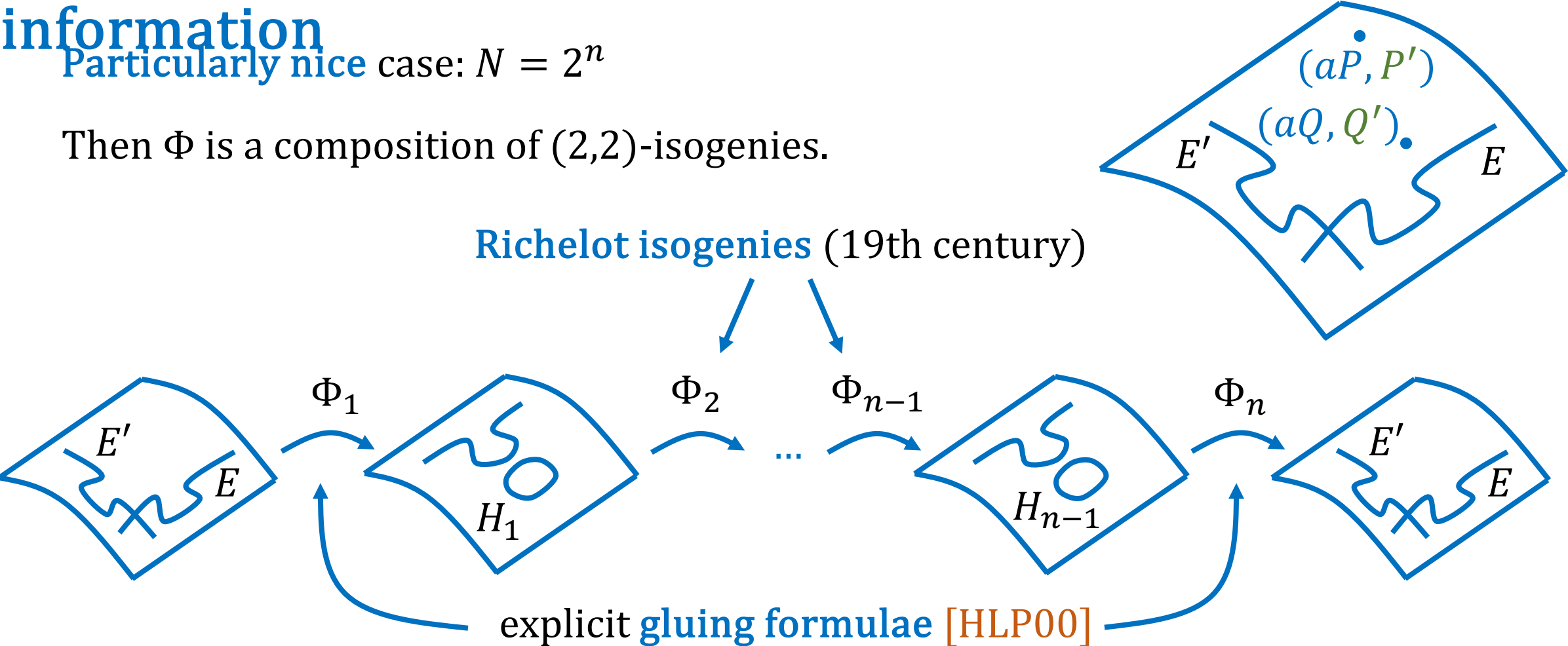
4. Recovering an isogeny from torsion point information

information

Particularly nice case: $N = 2^n$

Then Φ is a composition of (2,2)-isogenies.

Richelot isogenies (19th century)



Also explicit: (3,3)-isogenies [BFT14]; otherwise resort to [LR22].

4. Recovering an isogeny from torsion point information

$$\begin{array}{ccc}
 E & \xrightarrow{\varphi} & E' \\
 P, Q & & P' = \varphi(P), Q' = \varphi(Q)
 \end{array}$$

Next case: $N > d$

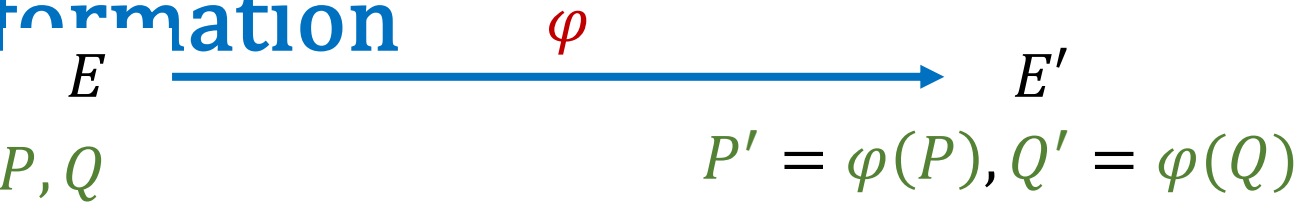
$N - d = a_1^2 + a_2^2$ is sum of two squares

Approach: same, but use

$$\Phi : E^2 \times E'^2 \xrightarrow{\begin{pmatrix} a_1 & a_2 & \hat{\varphi} & 0 \\ -a_2 & a_1 & 0 & \hat{\varphi} \\ -\varphi & 0 & a_1 & -a_2 \\ 0 & -\varphi & a_2 & a_1 \end{pmatrix}} E^2 \times E'^2$$

Now must resort to algorithms from [LR22].

4. Recovering an isogeny from torsion point information



Next case: $N > d$

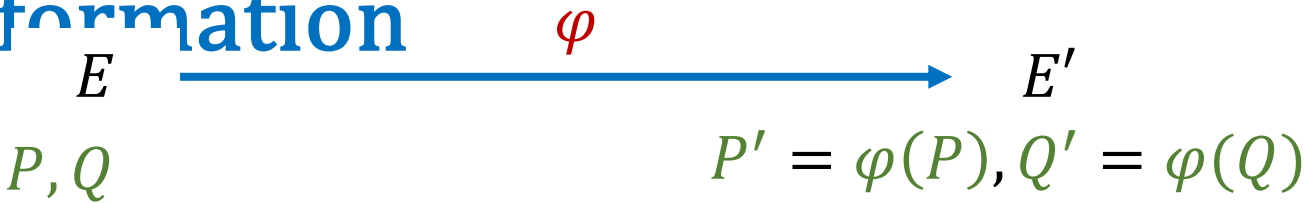
$N - d = a_1^2 + a_2^2 + a_3^2 + a_4^2$ is sum of four squares (Lagrange)

Approach:

work on $E^4 \times E'^4$ and use

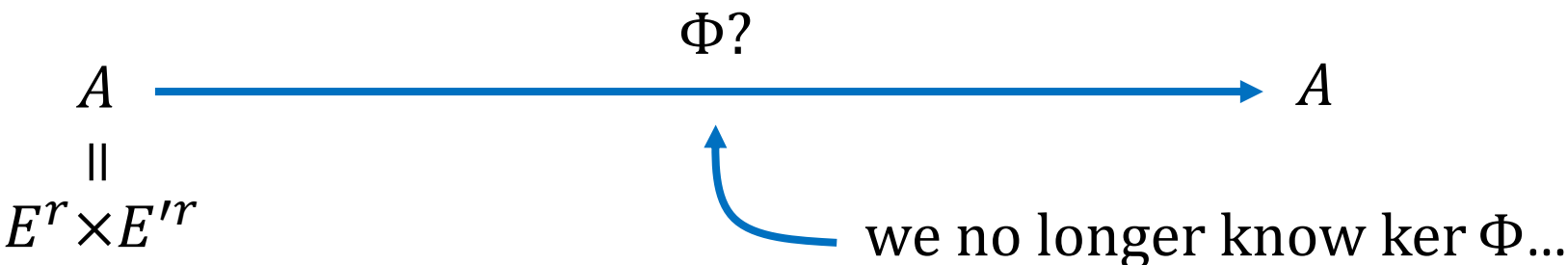
$$\begin{pmatrix}
 a_1 & -a_2 & -a_3 & -a_4 & \hat{\varphi} & 0 & 0 & 0 \\
 a_2 & a_1 & a_4 & -a_3 & 0 & \hat{\varphi} & 0 & 0 \\
 a_3 & -a_4 & a_1 & a_2 & 0 & 0 & \hat{\varphi} & 0 \\
 a_4 & a_3 & -a_2 & a_1 & 0 & 0 & 0 & \hat{\varphi} \\
 -\varphi & 0 & 0 & 0 & a_1 & a_2 & a_3 & a_4 \\
 0 & -\varphi & 0 & 0 & -a_2 & a_1 & -a_4 & a_3 \\
 0 & 0 & -\varphi & 0 & -a_3 & a_4 & a_1 & -a_2 \\
 0 & 0 & 0 & -\varphi & -a_4 & -a_3 & a_2 & a_1
 \end{pmatrix}$$

4. Recovering an isogeny from torsion point information

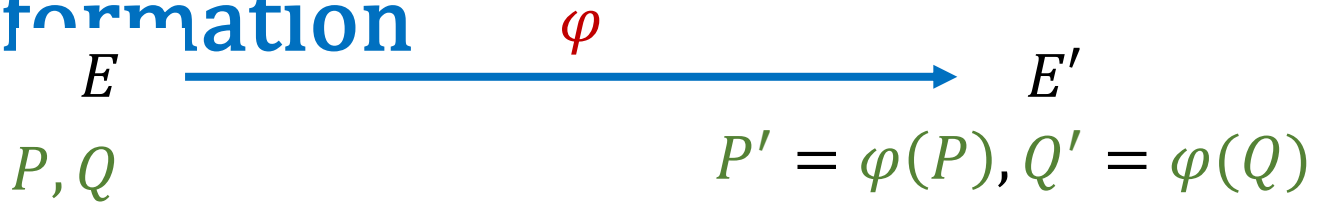


Full case: $N > \sqrt{d}$
 $N^2 - d = a^2$ or $a_1^2 + a_2^2$ or $a_1^2 + a_2^2 + a_3^2 + a_4^2$

Approach: proceed **as if we know** the images of $\frac{1}{N}P, \frac{1}{N}Q \in E[N^2]$.

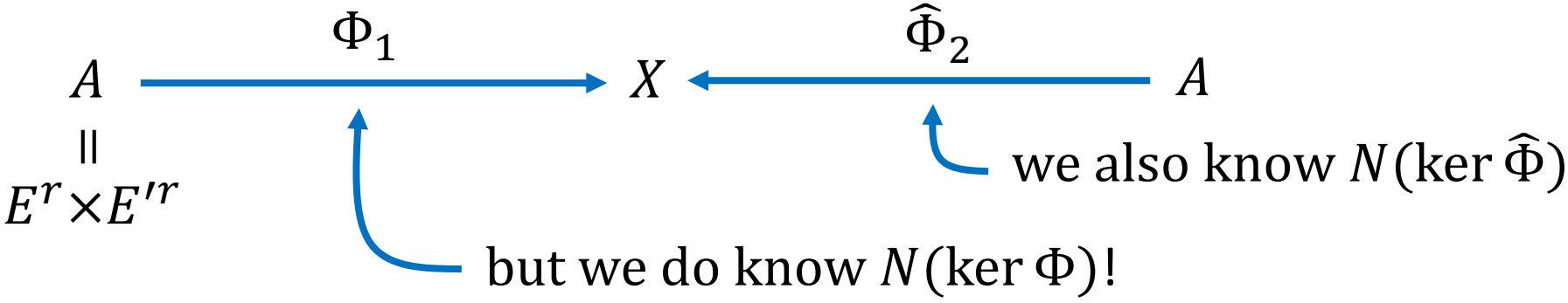


4. Recovering an isogeny from torsion point information

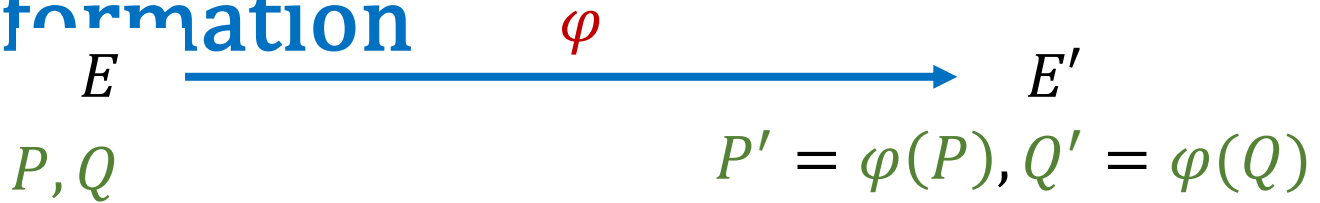


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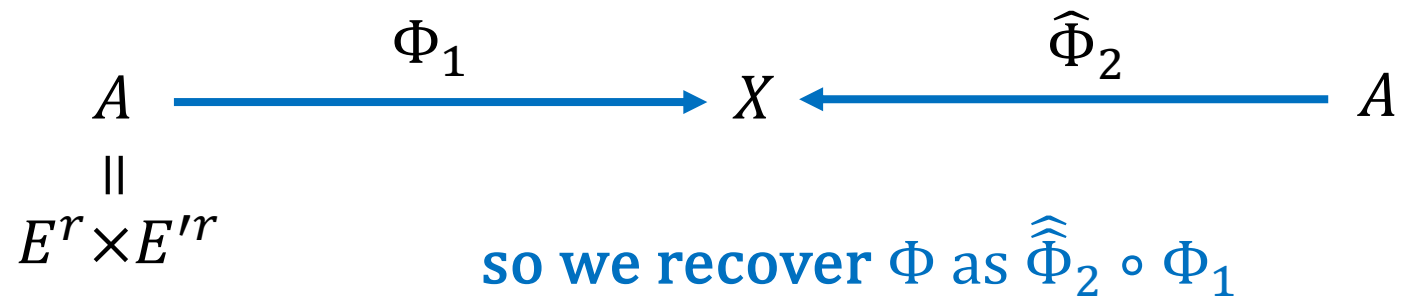
4. Recovering an isogeny from torsion point information



Full case: $N > \sqrt{d}$

$$N^2 - d = a^2 \quad \text{or} \quad a_1^2 + a_2^2 \quad \text{or} \quad a_1^2 + a_2^2 + a_3^2 + a_4^2$$

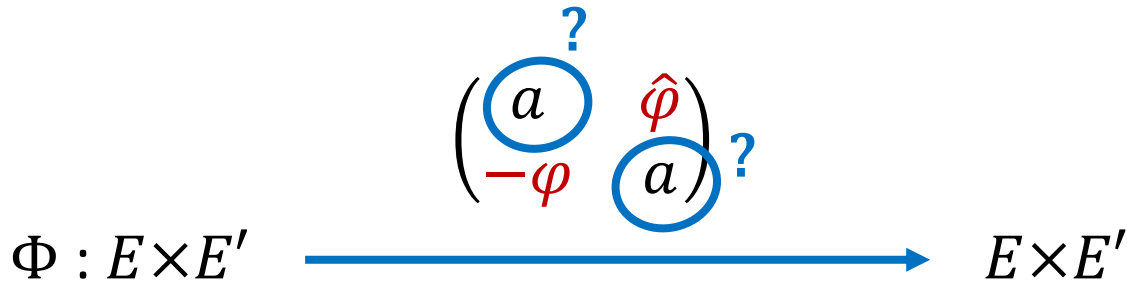
Approach: proceed **as if we know** the images of $\frac{1}{N}P, \frac{1}{N}Q \in E[N^2]$.



4. Recovering an isogeny from torsion point information

Breaking SIDH/SIKE in practice:

- prefer to use (2,2)-isogenies or (3,3)-isogenies (until [LR22] is practical),
- good news: $N_A = 2^n$ and $N_B = 3^m$ and either $N_A > N_B$ or $N_B > N_A$,
- bad news: $|N_A - N_B| = a^2$ extremely unlikely,



- $|N_A - N_B| = a_1^2 + a_2^2$ more likely, but **can we avoid dimension 4?**

Yes for special starting curves $E!$

4. Recovering an isogeny from torsion point information

Breaking SIDH/SIKE in practice:

- prefer to use (2,2)-isogenies or (3,3)-isogenies (until [LR22] is practical),
- good news: $N_A = 2^n$ and $N_B = 3^m$ and either $N_A > N_B$ or $N_B > N_A$,
- bad news: $|N_A - N_B| = a^2$ extremely unlikely,

$$E: y^2 = x^3 + x$$

$$\begin{array}{ccc}
 \mathbf{i} : E \rightarrow E: (x, y) \mapsto (-x, \sqrt{-1}y) & \left(\begin{array}{cc} a_1 + \mathbf{i}a_2 & \hat{\varphi} \\ -(a_1 + \mathbf{i}a_2)_* \varphi & \varphi_*(a_1 + \mathbf{i}a_2) \end{array} \right) & \\
 \Phi : E \times E' & \xrightarrow{\hspace{15em}} & E \times \mathcal{C}
 \end{array}$$

- $|N_A - N_B| = a_1^2 + a_2^2$ more likely,
- breaks all security levels of SIKE in **seconds** on a laptop [OP22], [DK23]

5. Aftermath

Reality check?

- **SIDH/SIKE** is dead, despite having withstood 11 years of cryptanalysis
- **Rainbow** [Beu22] was broken 17 years after its proposal
- Quantum threat is being taken very seriously ...
- ... but aren't we underestimating the risk of algorithmic breakthrough (even in the case of **integer factorization** and **discrete logarithm computation**)?
- Plea for:
 - not rushing things,
 - hybrid encryption for long-term secrets,
 - adaptable cryptography (quick drop-in replacements).

5. Aftermath

next big thing in
isogeny-based crypto



Future of isogeny-based cryptography?

- Finding isogenies remains hard: schemes like **CSIDH**, **SQISign**, ... unaffected.
- Remains very active topic, but **knocked back to high-level research phase**.
- There is also **good news** [Rob22a]: the attack is so efficient that one can now **efficiently represent** isogeny $\varphi: E \rightarrow E'$ by specifying
 - $\deg \varphi$,
 - $\varphi(P), \varphi(Q)$ for basis $P, Q \in E[N]$ with $N > 2\sqrt{d}$.
- Led to multiple **constructive uses**: SQISignHD [DLR+23], FESTA [BMP23], SCALLOP-HD [CL23], ...

5. Aftermath

Mathematical updates:

➤ Recall:

Lemma [JU18]

A degree- d isogeny $\varphi: E \rightarrow E'$ is uniquely determined by images of $4d + 1$ points.

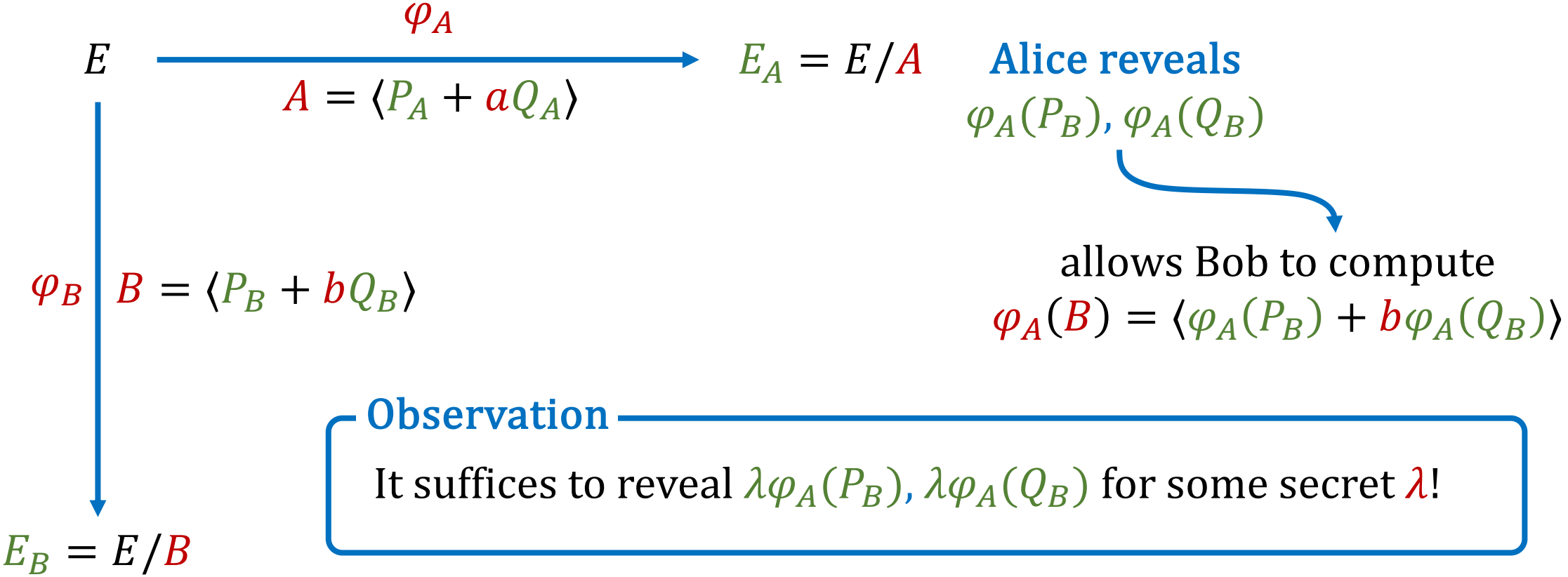
At Bristol/Banff workshop 2023: made **fully algorithmic**.

➤ Other applications [Rob22b]:

- **computing** $\text{End}(E)$ for ordinary E/\mathbf{F}_q in polytime, given factorization of discriminant,
- **point counting** on E/\mathbf{F}_{p^n} in time $O(n^2 \cdot \text{poly}(\log p))$,
- unconditional $\tilde{O}(\ell^3)$ -algorithm for computing ℓ th **modular polynomial**.

6. Analysis of a countermeasure (M-SIDH)

We recall:



Bob reveals
 $\varphi_B(P_A), \varphi_B(Q_A)$

allows Alice to compute $\varphi_B(A) = \langle \varphi_B(P_A) + a\varphi_B(Q_A) \rangle$

6. Analysis of a countermeasure (M-SIDH)

Leads to following variant:

$$\begin{array}{ccc}
 E & \xrightarrow{\varphi} & E' \\
 P, Q & & P' = \lambda\varphi(P), Q' = \lambda\varphi(Q)
 \end{array}$$

➤ **input:**

- $E, E' / \mathbf{F}_q$ connected by an \mathbf{F}_q -isogeny φ of **known degree** d ,
- a basis $P, Q \in E[N] \subset E(\mathbf{F}_q)$ for **smooth** $N > d$,
- $P' = \lambda\varphi(P), Q' = \lambda\varphi(Q) \in E'[N]$ for some $\lambda \in (\mathbf{Z}/N\mathbf{Z})^*$

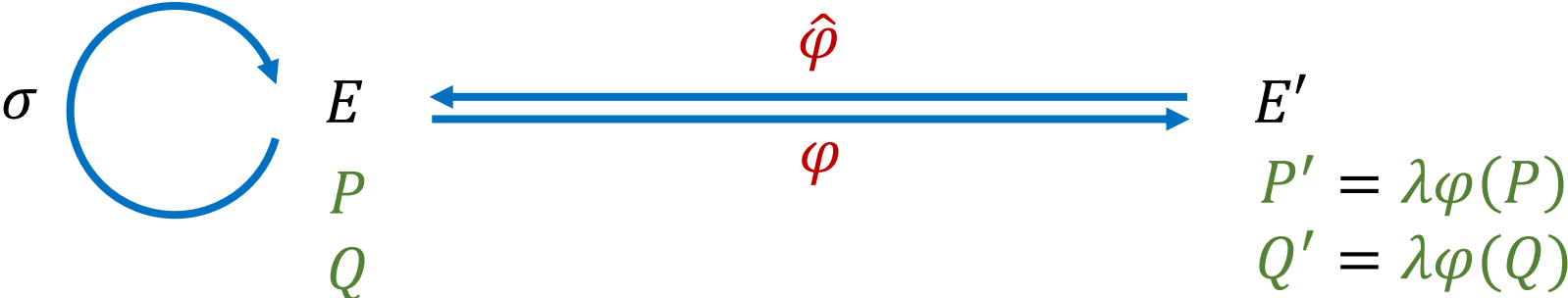
➤ **output:** a representation of φ .

Weil pairing: $e_N(P', Q') = e_N(\lambda\varphi(P), \lambda\varphi(Q)) = e_N(P, Q)^{\lambda^2 d} \longrightarrow$ reveals λ^2

Must assume N has **many distinct prime factors** in order to keep λ secret [FMP23].

6. Analysis of a countermeasure (M-SIDH)

If E or E' carries small non-scalar endomorphism σ : **lollipop attack** [FMP23]

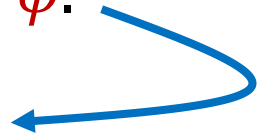


Observation: write $\Sigma \in (\mathbf{Z}/N\mathbf{Z})^{2 \times 2}$ for matrix of σ with respect to $P, Q \in E[N]$, then

$$(\varphi \circ \sigma \circ \hat{\varphi}) \begin{pmatrix} P' \\ Q' \end{pmatrix} = d (\varphi \circ \sigma) \begin{pmatrix} \lambda P \\ \lambda Q \end{pmatrix} = d \varphi \Sigma \begin{pmatrix} \lambda P \\ \lambda Q \end{pmatrix} = d \Sigma \begin{pmatrix} P' \\ Q' \end{pmatrix}$$

If $N > \sqrt{\deg(\hat{\varphi} \circ \sigma \circ \varphi)} = d\sqrt{\deg \sigma}$, results in a representation of $\hat{\varphi} \circ \sigma \circ \varphi$.

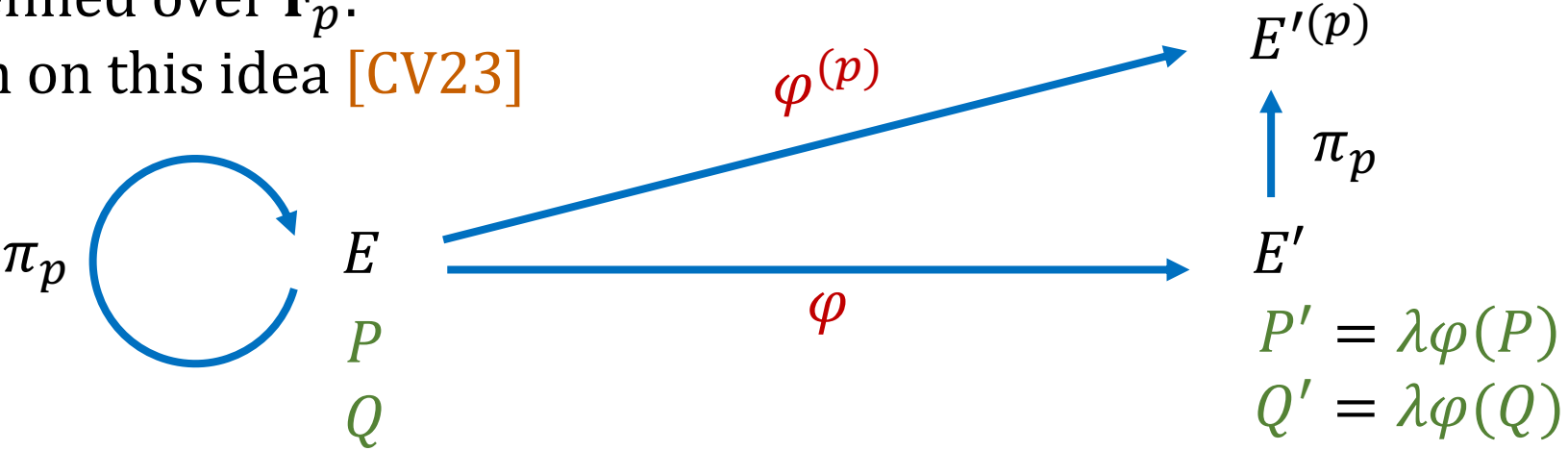
if cyclic: recover φ from this



6. Analysis of a countermeasure (M-SIDH)

If E is defined over \mathbf{F}_p :

variation on this idea [CV23]

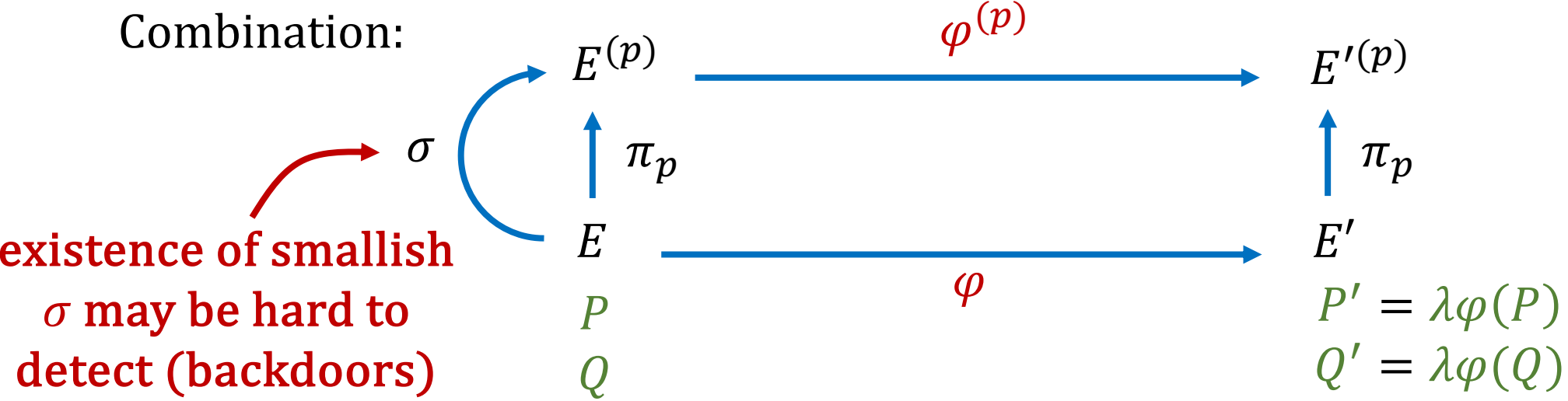


Similar observation: write Π for matrix of $\hat{\pi}_p$ with respect to $P, Q \in E[N]$, then

$$\begin{aligned}
 (\varphi^{(p)} \circ \hat{\varphi}) \begin{pmatrix} P' \\ Q' \end{pmatrix} &= d \varphi^{(p)} \begin{pmatrix} \lambda P \\ \lambda Q \end{pmatrix} = p^{-1} d (\varphi^{(p)} \circ \pi_p) \Pi \begin{pmatrix} \lambda P \\ \lambda Q \end{pmatrix} \\
 &= p^{-1} d (\pi_p \circ \varphi) \Pi \begin{pmatrix} \lambda P \\ \lambda Q \end{pmatrix} = p^{-1} d \Pi \begin{pmatrix} P' \\ Q' \end{pmatrix}
 \end{aligned}$$

if cyclic: recover φ from this

6. Analysis of a countermeasure (M-SIDH)



Now: write Ω for matrix of $\hat{\pi}_p \circ \sigma$ with respect to $P, Q \in E[N]$, then

$$\begin{aligned}
 (\varphi^{(p)} \circ \sigma \circ \hat{\varphi}) \begin{pmatrix} P' \\ Q' \end{pmatrix} &= d (\varphi^{(p)} \circ \sigma) \begin{pmatrix} \lambda P \\ \lambda Q \end{pmatrix} = p^{-1} d (\varphi^{(p)} \circ \pi_p) \Omega \begin{pmatrix} \lambda P \\ \lambda Q \end{pmatrix} \\
 &= p^{-1} d (\pi_p \circ \varphi) \Omega \begin{pmatrix} \lambda P \\ \lambda Q \end{pmatrix} = p^{-1} d \Omega \begin{pmatrix} P' \\ Q' \end{pmatrix}
 \end{aligned}$$

if cyclic and $N > d\sqrt{\deg \sigma}$: recover φ from this

¿Preguntas?

Muchísimas gracias por su atención!

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