

# Privacy-preserving edit distance computation using secret-sharing two-party computation

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# Overview

- ▶ Edit distance and its privacy concerns
- ▶ Wagner-Fischer algorithm (WF)
- ▶ Our solution:
  - ▶ Division of WF into two sections: preamble and arithmetic section.
  - ▶ Preamble computation
  - ▶ Arithmetic section
  - ▶ Automatic generation of formulas for the arithmetic section
- ▶ Experiments

# Edit Distance

String *A*

<i>A</i>	<i>C</i>	<i>G</i>	<i>A</i>	<i>A</i>	<i>T</i>	<i>T</i>	<i>A</i>
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String *B*

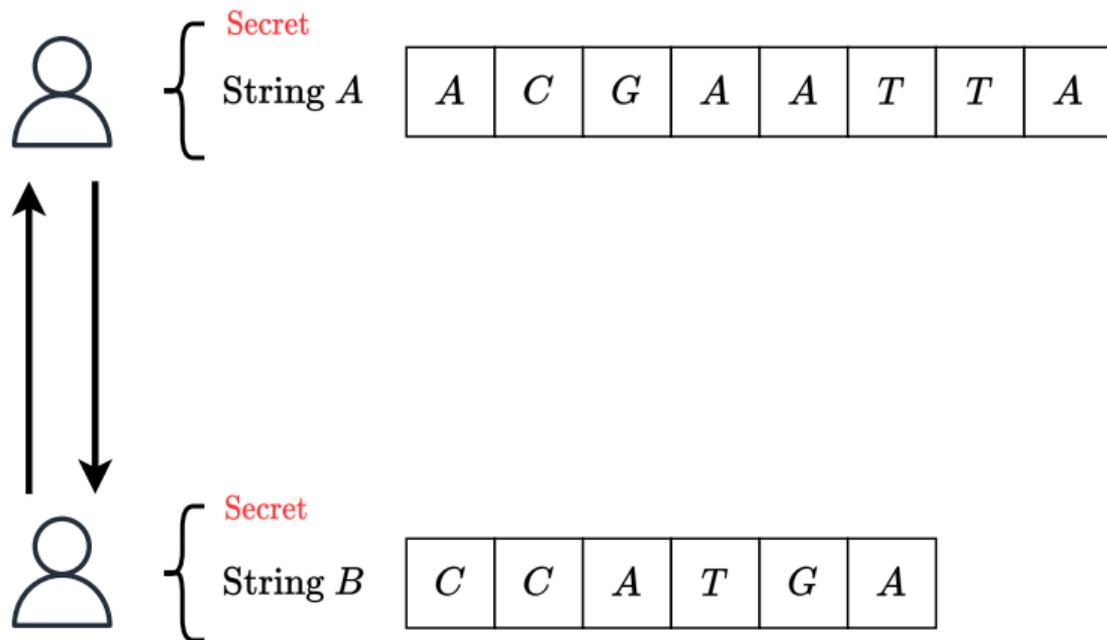
<i>C</i>	<i>C</i>	<i>A</i>	<i>T</i>	<i>G</i>	<i>A</i>
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- ▶ Minimum number of **insertions**, **deletions**, and **changes**.
- ▶ Wagner-Fischer algorithm [WF74].

# Privacy Concerns in Edit Distance

- ▶ The edit distance is used in genomics to compare two DNA chains.
- ▶ Revealing DNA chains have risks for the chain owner:
  - ▶ Re-identification.
  - ▶ Attribute disclosure attacks via DNA.
  - ▶ Ancestry identification.

# Privacy-Preserving Setup



## Warning!

One of the parties can behave maliciously to steal the string of the other party.

# Our Contributions

## Current solutions:

- ▶ Garbled circuits (GC)
- ▶ Homomorphic encryption (HE)

But what about protocols based on secret-sharing schemes (SS)?

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## Current solutions:

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## Our approach:

- ▶ Two-party protocol.
- ▶ Separation of the Wagner-Fischer algorithm into two sections:
  - ▶ Preamble: Tinier [Fre+15] – binary domain.
  - ▶ Arithmetic part: SPD $\mathbb{Z}_{2^k}$  [Cra+18] and edaBits [Esc+20] – arithmetic domain.
- ▶ Mixed-circuit solution: daBits [RW19; Aly+19]
- ▶ The arithmetic part is computed in blocks. Generalization of Cheon et al. [CKL15].

# The Wagner-Fischer Algorithm [WF74]

**Input:** two DNA chains  $P = [p_1, \dots, p_n]$  and  $Q = [q_1, \dots, q_m]$ .

- 1: Let  $t$  be a matrix with dimensions  $n \times m$ .
- 2: **for**  $i = 1$  to  $n$  **do**
- 3:     **for**  $j = 1$  to  $m$  **do**
- 4:         **if**  $p_i \neq q_j$  **then**  $t(i, j) = 1$
- 5:         **else**  $t(i, j) = 0$
- 6: Let  $D$  be a matrix with dimensions  $(n + 1) \times (m + 1)$  initialized with zeros.
- 7: **for**  $i = 0$  to  $n$  **do**  $D(i, 0) = i$
- 8: **for**  $j = 0$  to  $m$  **do**  $D(0, j) = j$
- 9: **for**  $i = 1$  to  $n$  **do**
- 10:     **for**  $j = 1$  to  $m$  **do**
- 11:

$$D(i, j) = \min \begin{cases} D(i - 1, j) + 1, \\ D(i, j - 1) + 1, \\ D(i - 1, j - 1) + t(i, j) \end{cases}$$

- 12: **return**  $D(n, m)$

# Preamble computation

**Goal:** to compute  $\llbracket t(i, j) \rrbracket_2$ .

► Nucleotide representation:

$A \mapsto 00$ ,  $C \mapsto 01$ ,  $G \mapsto 10$ , and  $T \mapsto 11$

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- ▶ Nucleotide representation:

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- ▶ Nucleotide secret-sharing:  $\llbracket N \rrbracket_2 \stackrel{\text{def}}{=} \langle \llbracket b_0 \rrbracket_2, \llbracket b_1 \rrbracket_2 \rangle$ .
- ▶ The XOR can be extended naturally:  $\llbracket N \rrbracket \oplus \llbracket N' \rrbracket \stackrel{\text{def}}{=} \langle \llbracket b_0 \rrbracket_2 \oplus \llbracket b'_0 \rrbracket_2, \llbracket b_1 \rrbracket_2 \oplus \llbracket b'_1 \rrbracket_2 \rangle$ .

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- ▶ Equality test:

$$\llbracket N \stackrel{?}{=} N' \rrbracket_2 = 1 - [(\llbracket b_0 \rrbracket_2 + \llbracket b'_0 \rrbracket_2) + (\llbracket b_1 \rrbracket_2 + \llbracket b'_1 \rrbracket_2) + (\llbracket b_0 \rrbracket_2 + \llbracket b'_0 \rrbracket_2) \cdot (\llbracket b_1 \rrbracket_2 + \llbracket b'_1 \rrbracket_2)].$$

- ▶ Communication cost:  $4nm$  bits (without considering active security mechanisms).

## Arithmetic section I

We use **daBits** [RW19; Aly+19] to transform  $\llbracket t(i, j) \rrbracket_2$  into  $\llbracket t(i, j) \rrbracket_{2^k}$ .

**Goal:** to compute  $\llbracket D(n, m) \rrbracket_{2^k}$

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We have that

$$D(i, j) = \min \begin{cases} D(i-1, j) + 1 \\ D(i, j-1) + 1 \\ D(i-1, j-1) + t(i, j) \end{cases}$$

## Arithmetic section II

Applying the equation recursively, we end up with

$$D(i, j) = \min \left\{ \begin{array}{l} D(i-2, j) + 2 \\ D(i-2, j-1) + t(i-1, j) + 1 \\ D(i-2, j-1) + 3 \\ D(i-1, j-2) + 3 \\ D(i-2, j-2) + t(i-1, j-1) + 2 \\ D(i, j-2) + 2 \\ D(i-1, j-2) + t(i, j-1) + 1 \\ D(i-2, j-1) + t(i, j) + 1 \\ D(i-1, j-2) + t(i, j) + 1 \\ D(i-2, j-2) + t(i, j) + t(i-1, j-1) \end{array} \right.$$

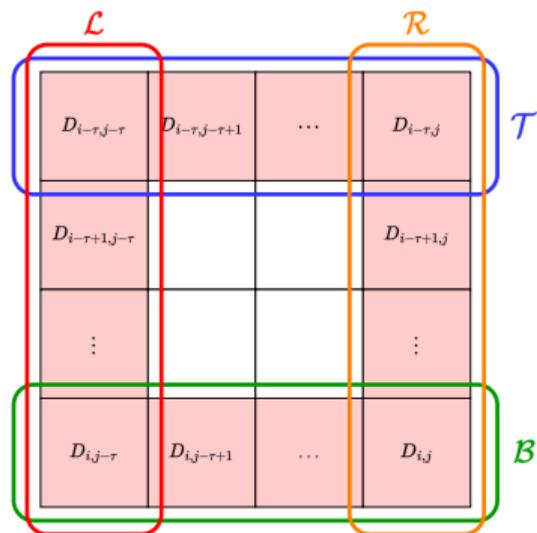
## Arithmetic section III

Reducing the redundant terms, we obtain that

$$D(i, j) = \min \left\{ \begin{array}{l} D(i-2, j) + 2 \\ D(i-2, j-1) + t(i-1, j) + 1 \\ D(i-2, j-1) + t(i, j) + 1 \\ D(i-2, j-2) + t(i-1, j-1) + t(i, j) \\ D(i, j-2) + 2 \\ D(i-1, j-2) + t(i, j-1) + 1 \\ D(i-1, j-2) + t(i, j) + 1 \end{array} \right.$$

**Later, we will present an algorithm to do this!**

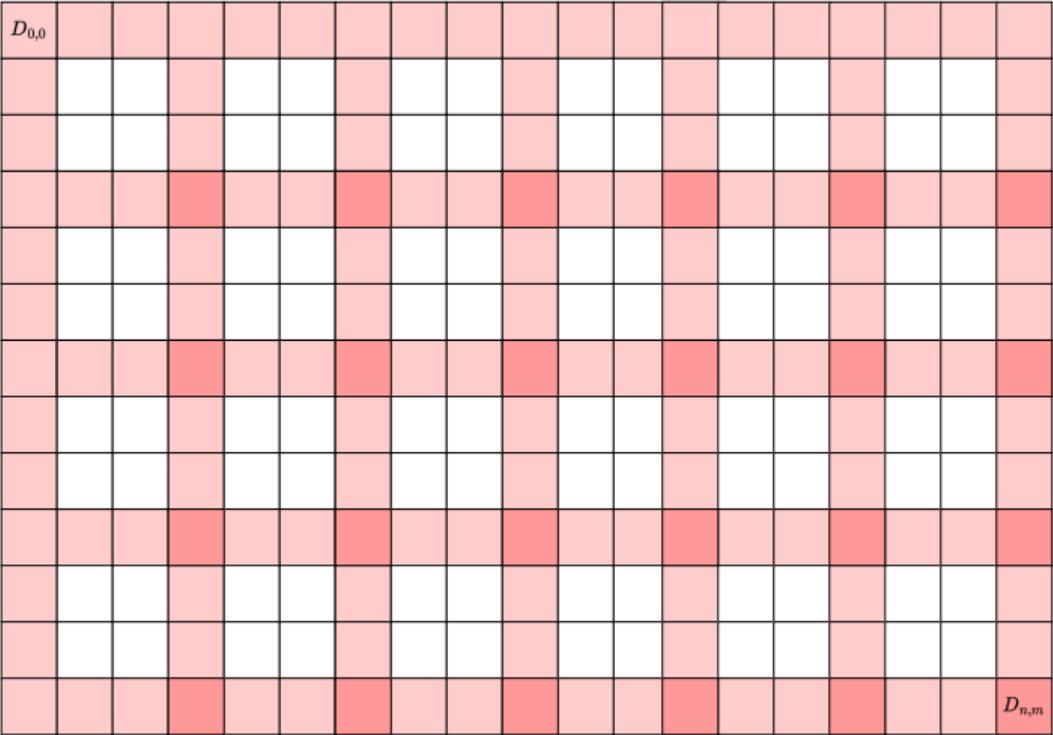
# Arithmetic section IV



$$\mathcal{T} \stackrel{\text{def}}{=} \{D_{i-\tau, j-\tau}, D_{i-\tau, j-\tau+1}, \dots, D_{i-\tau, j}\}, \quad \mathcal{B} \stackrel{\text{def}}{=} \{D_{i, j-\tau}, D_{i, j-\tau+1}, \dots, D_{i, j}\},$$

$$\mathcal{L} \stackrel{\text{def}}{=} \{D_{i-\tau, j-\tau}, D_{i-\tau+1, j-\tau}, \dots, D_{i, j-\tau}\}, \quad \mathcal{R} \stackrel{\text{def}}{=} \{D_{i-\tau, j}, D_{i-\tau+1, j}, \dots, D_{i, j}\}.$$

# Arithmetic section V



## Arithmetic section VI

To compute  $\llbracket D(i, j) \rrbracket_{2^k}$ , we can use the protocol  $\text{MIN}_q$  [Dam+19; Tof07]. The protocol computes securely the minimum of a list of  $q$  elements using:

- ▶  $O(\log_2 q) \cdot (c_r + 2)$  online rounds ( $c_r$  is the number of rounds for one comparison),
- ▶  $q - 1$  comparisons, and
- ▶  $2q - 2$  multiplications.

### Warning!

Comparisons and multiplications need pre-processing material.

## Arithmetic section VII

Using the protocol  $\text{MIN}_7$ ,

$$\llbracket D(i, j) \rrbracket_{2^k} = \text{MIN}_7 \left\{ \begin{array}{l} \llbracket D(i-2, j) \rrbracket_{2^k} + 2 \\ \llbracket D(i-2, j-1) \rrbracket_{2^k} + \llbracket t(i-1, j) \rrbracket_{2^k} + 1 \\ \llbracket D(i-2, j-1) \rrbracket_{2^k} + \llbracket t(i, j) \rrbracket_{2^k} + 1 \\ \llbracket D(i-2, j-2) \rrbracket_{2^k} + \llbracket t(i-1, j-1) \rrbracket_{2^k} + \llbracket t(i, j) \rrbracket_{2^k} \\ \llbracket D(i, j-2) \rrbracket_{2^k} + 2 \\ \llbracket D(i-1, j-2) \rrbracket_{2^k} + \llbracket t(i, j-1) \rrbracket_{2^k} + 1 \\ \llbracket D(i-1, j-2) \rrbracket_{2^k} + \llbracket t(i, j) \rrbracket_{2^k} + 1 \end{array} \right.$$

The same holds for computing  $\llbracket D(i-1, j) \rrbracket_{2^k}$  and  $\llbracket D(i, j-1) \rrbracket_{2^k}$  using the  $\text{MIN}_4$  protocol.

## Arithmetic section VIII

### Fact

The number of formulas needed to compute  $D(i, j)$  is  $O(\tau \cdot 2^{3\tau})$  (**we will see this later**).

### Fact

All the positions in  $\mathcal{R} \cup \mathcal{B}$  inside a  $(\tau + 1)$ -box can be computed in parallel.

The arithmetic part can be computed in:

- ▶  $O\left(\frac{nm}{\tau^2} \cdot (3\tau + \log_2 \tau) \cdot (c_\tau + 2)\right)$  rounds,
- ▶  $O\left(\frac{nm}{\tau^2} \cdot (\tau^2 \cdot 2^{3\tau} - 1)\right)$  comparisons, and
- ▶  $O\left(\frac{nm}{\tau^2} \cdot (\tau^2 \cdot 2^{3\tau+1} - 2)\right)$  multiplications.

## Removing Redundant Formulas I

$$D(i, j) = \min \left\{ \begin{array}{l} D(i-2, j) + 2 \\ D(i-2, j-1) + t(i-1, j) + 1 \\ D(i-2, j-1) + 3 \\ D(i-1, j-2) + 3 \\ D(i-2, j-2) + t(i-1, j-1) + 2 \\ D(i, j-2) + 2 \\ D(i-1, j-2) + t(i, j-1) + 1 \\ D(i-2, j-1) + t(i, j) + 1 \\ D(i-1, j-2) + t(i, j) + 1 \\ D(i-2, j-2) + t(i, j) + t(i-1, j-1) \end{array} \right.$$

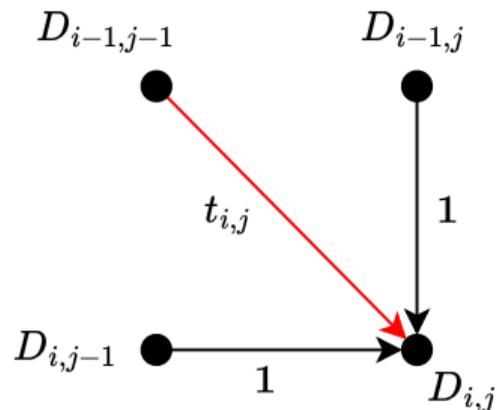
## Removing Redundant Formulas II

$$D(i, j) = \min \left\{ \begin{array}{l} D(i-2, j) + 2 \\ D(i-2, j-1) + t(i-1, j) + 1 \\ D(i-2, j-1) + 3 \\ D(i-1, j-2) + 3 \\ D(i-2, j-2) + t(i-1, j-1) + 2 \\ D(i, j-2) + 2 \\ D(i-1, j-2) + t(i, j-1) + 1 \\ D(i-2, j-1) + t(i, j) + 1 \\ D(i-1, j-2) + t(i, j) + 1 \\ D(i-2, j-2) + t(i, j) + t(i-1, j-1) \end{array} \right.$$

$$D(i-2, j-1) + t(i-1, j) + 1 \leq D(i-2, j-1) + 3$$

$D(i-2, j-1) + 3$  can be deleted safely!

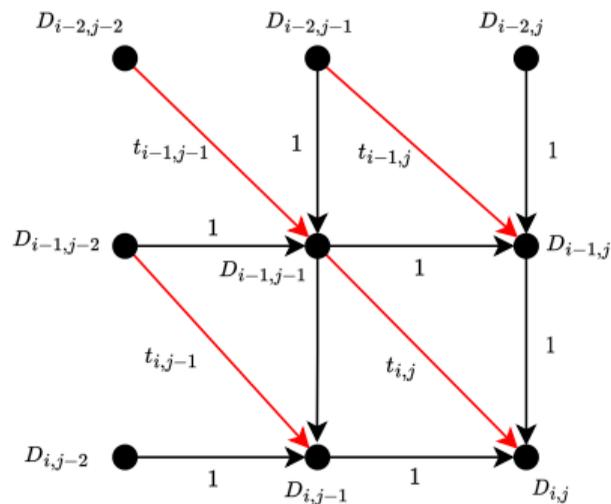
# The Dependency Graph I



$$D(i, j) = \min \begin{cases} D(i-1, j) + 1 \\ D(i, j-1) + 1 \\ D(i-1, j-1) + t(i, j) \end{cases}$$

A similar abstraction was considered by [Ukk85] without edge coloring.

## The Dependency Graph II



- ▶ A path in the graph induces a formula.
- ▶ Let  $P$  and  $Q$  be two paths.
  - ▶  $r_{P,Q}$ : number of red edges in  $P$  that are not in  $Q$ .
  - ▶  $b_P$ : number of black edges in the path  $P$ .

## Algorithm for formula generation

- ▶ We defined a notion of “**optimality**” of a set of formulas.
- ▶ Correctness:  $r_{Q,P} + b_Q \leq b_P$ .

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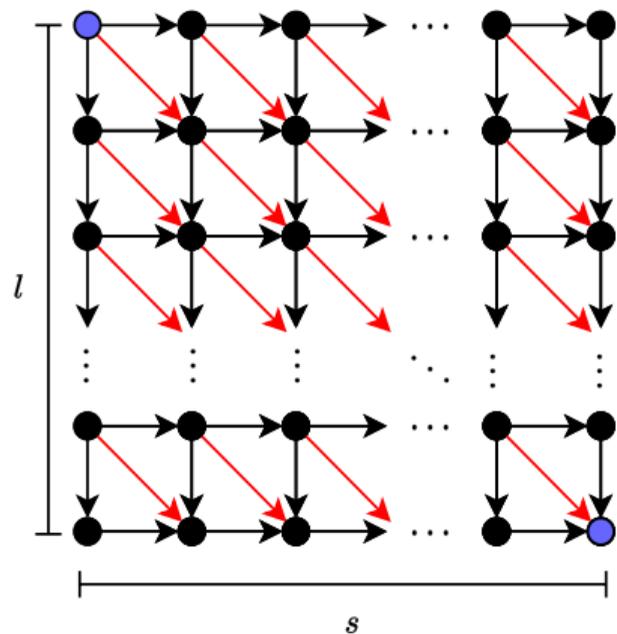
**Input:** a dependency graph  $G$ . Two endpoints  $U \in \mathcal{T} \cup \mathcal{L}$  and  $W \in \mathcal{B} \cup \mathcal{R}$ .

**Output:** an “optimal” set of paths  $\mathcal{S}$  to compute the edit distance correctly.

```
1: Generate the set  $\mathcal{P}_{U,W}$ .
2:  $\mathcal{S} \leftarrow \emptyset$ .
3: for  $P \in \mathcal{P}_{U,W}$  do
4:    $r \leftarrow \text{True}$ 
5:   for  $Q \in \mathcal{P}_{U,W} \setminus \{P\}$  do
6:     if  $r_{Q,P} + b_Q \leq b_P$  then
7:        $r \leftarrow \text{False}$ 
8:       break
9:   if  $r = \text{True}$  then
10:     Append  $P$  to  $\mathcal{S}$ 
11: return  $\mathcal{S}$ 
```

# Upper Bound for the Number of Formulas

Delanoy graph



$$\mathcal{D}(l, s) = \sum_{i=0}^{\min\{l, s\}} \binom{l}{i} \binom{s}{i} \cdot 2^i.$$

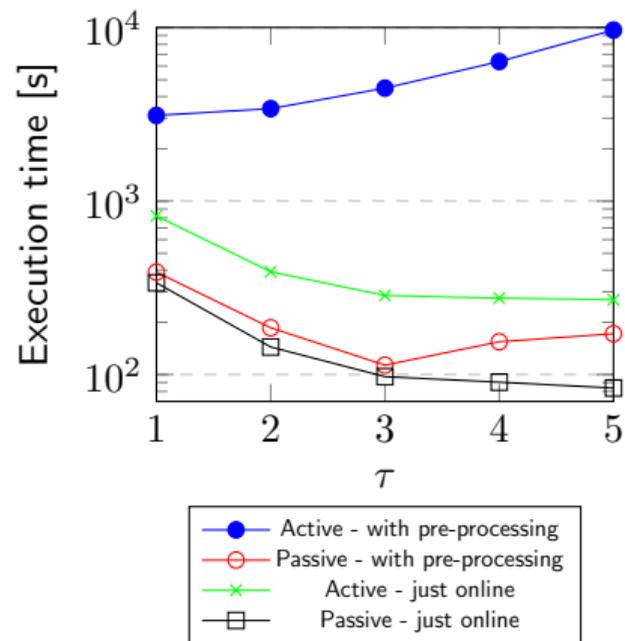
An upper bound for the number of formulas is

$$\sum_{k=1}^{\tau} [\mathcal{D}(\tau, \tau - k) + \mathcal{D}(\tau - k, \tau)] + \mathcal{D}(\tau, \tau) = O(\tau \cdot 2^{3\tau})$$

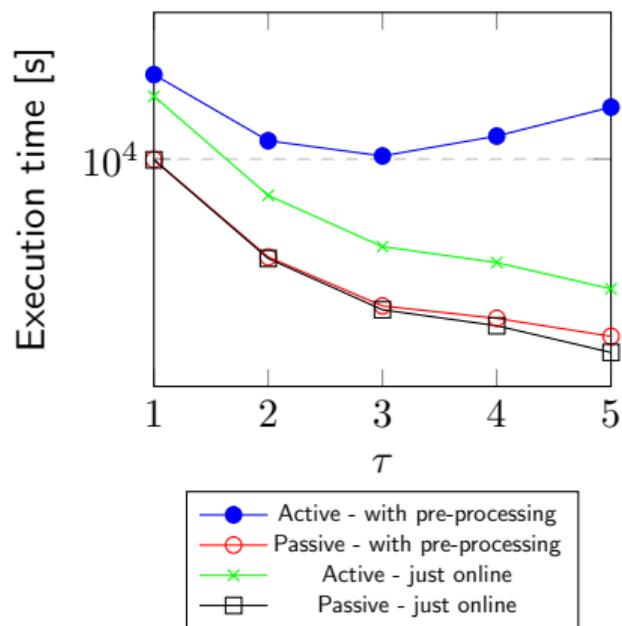
# Experiments

- ▶ MP-SDPZ framework [Kel20].
- ▶ AWS EC2 instance of type c6a.4xlarge.
- ▶ We simulated a LAN architecture:
  - ▶ Bandwidth: 1.6 GBps.
  - ▶ Latency: 0.3 milliseconds.
- ▶ We consider a bit-length of 16, which allows 16-bit integer computations.

# Effect of the Box Size $\tau$



(a) No network limits.



(b) LAN.

## GC-Based vs. SSS-Based Protocols

Network	Security	Protocol	Time [s]	Data sent [MB]
No limit	Passive	Yao's GC	2.6	345.8
		Semi $2^k$	4.9	113.1
	Active	BMR-MASCOT	5,968.6	$2.09 \times 10^6$
		SPD $\mathbb{Z}_{2^k}$	140.1	14,893.8
LAN	Passive	Yao's GC	2.7	345.8
		Semi $2^k$	103.0	113.1
	Active	BMR-MASCOT	9,034.0	$2.09 \times 10^6$
		SPD $\mathbb{Z}_{2^k}$	368.5	14,893.8

Here, we used  $\tau = 1$  for GC-based protocols and  $\tau = 3$  for SSS-based protocols.

## Additional Results

### Comparison with field-based protocol

On a 1020 long DNA-chain  $\text{Semi}_{2^k}$  sends 85% less data than Semi and  $\text{SPD}_{\mathbb{Z}_{2^k}}$  sends 86% less data than MASCOT [KOS16].

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## Comparison with homomorphic encryption solutions

Cheon et al. [CKL15]: DNA chains of length 8 at 80 bits of security.

- ▶ Key generation: 27.54 seconds.
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**In our case**, considering both the pre-processing and the online phase on a LAN, using  $\tau = 2$ :

- ▶ Semi $2^k$ : 0.3 seconds.
- ▶ SPD $\mathbb{Z}_{2^k}$ : 5.92 seconds

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For chains of length 100:

- ▶ Cheon et al. [CKL15]: 1 day 5 hours (62-bit security).
- ▶ **Our work**: 96.69 seconds on a LAN using the  $\text{SPD}\mathbb{Z}_{2^k}$ .

Thanks  
Gracias  
Obrigado

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